




FAX

Embassy and Permanent Mission of the Democratic Socialist Republic of Sri Lanka
Weyringergasse 33-35, 1040 Vienna, Austria

Telephone: +43 1 503 79 88

Fax: +43 1 503 79 93

E-mail: slemb.vienna@mfa.gov.lk

ADDRESSED TO	:	Foreign Secretary Attention: Director General / UN & HR	
COPIED TO	:	1. Director General/ Sri Lanka Atomic Energy Regulatory Council 2. Director General/ Sri Lanka Atomic Energy Board	
FROM	:	SLEMB, Vienna	
SIGNATURE & DESIGNATION	:	 Counsellor for Ambassador & Permanent Representative	
		DATE:	26 January 2022
SECURITY	:	PRIORITY	NORMAL
NO. OF PAGES (including this)	:	FILE NO.	AT/ML/IAEA/12
MESSAGE NO.	:	89	

IAEA: Guidelines for the Preparation of the 2024-2025 Technical Cooperation Programme

1. Annexed herewith is a communication dated 25 January 2022 from the Secretariat of the International Atomic Energy Agency (IAEA) on the above subject.
2. The Ministry would note that the IAEA has shared the Guidelines for the Planning and Design of the 2024-2025 Technical Cooperation Programme for the development of new projects.
3. The Guidelines highlight the different roles and services that the IAEA Secretariat can provide to member States in the application of the new TC cycle.
4. Member States are invited to begin preparations for the 2024-2025 TC cycle and to submit the relevant Programme notes as early as possible and no later than 30 April 2022 by using the TC fund allocation of the previous cycle for budgetary reference.
5. The Mission would suggest holding a virtual meeting with the participation of SLAEB, MFA and the Mission to discuss matters pertaining to Technical Cooperation with the IAEA.
6. It would be appreciated if the Ministry could disseminate the information among the relevant authorities and intimate suitable date for the virtual meeting. (Ends)



IAEA

Atoms for Peace and Development

الوكالة الدولية للطاقة الذرية

国际原子能机构

International Atomic Energy Agency

Agence Internationale de l'énergie atomique

Международное агентство по атомной энергии

Organismo Internacional de Energía Atómica

Vienna International Centre, PO Box 100, 1400 Vienna, Austria

Phone: (+43 1) 2600 • Fax: (+43 1) 26007

Email: Official.Mail@iaea.org • Internet: <http://www.iaea.org>

In reply please refer to:

Dial directly to extension: (+43 1) 2600-22317

The Secretariat of the International Atomic Energy Agency (IAEA) presents its compliments to the IAEA's Member States and has the honour to refer to the start of preparations for the **2024–2025 technical cooperation (TC) programme**.

The attached *Guidelines for the Planning and Design of the IAEA 2024–2025 Technical Cooperation Programme* set out the process for the development of new projects for the 2024–2025 TC programme. The guidelines also present the criteria that all projects must meet in order to ensure consistently high quality throughout the TC programme. The timeframe for the planning, design, appraisal and approval of the 2024–2025 TC programme is included at the end of the document.

In line with the Secretariat's emphasis on continuous improvement, the attached guidelines highlight the different roles and the available services that can be provided by the Secretariat to support the development of the new programme with the intention to further strengthen the effectiveness and efficiency of the TC programme.

Member States are invited to begin preparations for the 2024–2025 TC cycle, and to submit the relevant Programme Notes as early as possible and no later than **30 April 2022**. Member States are requested to use the relevant Programme Note and project document templates available on the Programme Cycle Management Framework (PCMF) IT platform, in accordance with the procedures outlined in the attached guidelines.

Member States are advised to use the Technical Cooperation Fund allocation of the previous cycle for national programmes as a reference budgetary figure for the new national projects of the 2024–2025 cycle taking also into consideration allocations made to projects approved for more than two years in the previous cycle which will continue in the 2024–2025 TC programme cycle. This provides a realistic budgeting framework and allows Member States to focus on comprehensive and well-integrated project proposals that contribute to established national programmes.

Member States can obtain more information and support on the process for developing their 2024–2025 TC project proposals by contacting their respective Programme Management Officer in the Secretariat.

The Secretariat requests the cooperation of Member States in meeting the deadlines indicated in the guidelines so that the preparations for the 2024–2025 TC programme can proceed in a timely manner.

The Secretariat of the International Atomic Energy Agency avails itself of this opportunity to renew to the IAEA's Member States the assurances of its highest consideration.



2022-01-25

Enclosure: *Guidelines for the Planning and Design of the IAEA 2024–2025 Technical Cooperation Programme*

Guidelines for the Planning and Design of the IAEA 2024–2025 Technical Cooperation Programme

Contents

- A. Objective
 - B. Introduction
 - C. Guiding Principles for Project Planning and Design
 - D. Roles and Responsibilities
 - E. Project Planning and Development Process
 - F. Services to Support Project Design
 - G. Timeframe for the Preparation of the 2024–2025 TC Programme
-

A. Objective

1. These guidelines provide the framework for the development of the International Atomic Energy Agency's (IAEA's) technical cooperation (TC) programme for 2024–2025. They define roles and responsibilities, process and timeframe, and available services for the planning, design and development of the 2024–2025 TC programme.

B. Introduction

2. The IAEA is an independent international organization with a special relationship with the United Nations system. Its TC programme builds human and institutional capacities in Member States for the safe, secure and peaceful application of nuclear science and technology, in line with the IAEA's statutory mandate¹ and relevant decisions of its governing bodies. In so doing, the programme contributes to addressing the major sustainable development priorities of each country, with the goal of increasingly promoting tangible socio-economic impact. The IAEA works closely with Member States and supports them in their effort to achieve the Sustainable Development Goals (SDGs).
3. The TC programme is based on the principle of shared responsibility between Member States and the Secretariat, with the lead role taken by the Member States. The projects in each country programme are developed through a consultative process that involves all relevant stakeholders, using the Logical Framework Approach (LFA) and the TC central criterion², to identify the priorities, problems and gaps that need to be addressed, together with the expected results to be achieved, taking into account available resources.
4. The TC programme cycle is aligned with the IAEA's Regular Programme to allow strategic allocation and leveraging of all available Secretariat resources (programme management, funding and technical expertise).

¹ Under Article II of the IAEA Statute: "The Agency shall seek to accelerate and enlarge the contribution of atomic energy to peace, health and prosperity throughout the world. It shall ensure, so far as it is able, that assistance provided by it or at its request or under its supervision or control is not used in such a way as to further any military purpose."

² See IAEA document GOV/INF/2002/8/Mod.1.

C. Guiding Principles for Project Planning and Design

5. The IAEA Statute and the *Revised Guiding Principles and General Operating Rules Governing the Provision of Technical Assistance by the Agency* as contained in INFCIRC/267 comprise the IAEA's established guiding principles for the formulation of the TC programme. Programme formulation is also guided by relevant decisions of the governing bodies.
6. The TC programme is needs-based and demand-driven. Priorities are established at the national level based on Country Programme Frameworks (CPFs), where available, and national development/sectoral plans. At the regional level, programme priorities are based on regional strategic frameworks and regional profiles.
7. Each Member State seeking a national technical cooperation programme is strongly encouraged to have a valid CPF. The CPF is a strategic programming tool prepared jointly by the Member State and the Secretariat. It defines national development needs and priorities to which nuclear science and technology can be applied to produce tangible results. The CPF provides a results-focused structure to the country programme based on country specific analyses and lessons learned from past technical cooperation, to which planned TC projects should be clearly linked. CPFs are aligned with national development plans, relevant sectoral strategies and policies and the SDGs. Regional strategic plans, profiles and frameworks are key reference documents for the development of regional and interregional projects, ensuring alignment with defined and prioritized regional and interregional needs.
8. The Secretariat works closely with Member States to ensure that the needs and priorities identified by the Member States are appropriately reflected in the TC programme, and that project proposals are in line with the TCP quality criteria, namely relevance, coherence, ownership, sustainability, efficiency and effectiveness. To increase efficiency, significant consideration should be given to comprehensive projects with the potential for higher impact³. Member States are encouraged to give increasing emphasis to integrated, multi-year projects⁴.
9. Partnerships with other stakeholders (including potential donors) that can contribute to the proposed project are strongly encouraged and should be identified, sought out and established early in the project design process.
10. The IAEA is committed to gender equality and to supporting the ability of all individuals, regardless of gender, to equally contribute to and benefit from its programmes and activities. In line with the IAEA Gender Equality Policy and with its objective to mainstream, as appropriate, a gender perspective in programme planning, all projects should take into account gender-related impacts and benefits. Therefore, Member States are encouraged to make efforts to integrate the concerns and experiences of both men and women in project identification, prioritization, planning, design, implementation, monitoring and evaluation through equal participation and opportunities.
11. The IAEA uses the LFA as a standard tool for results-based management across the complete TC project life cycle. All projects should be designed to achieve results based on thorough analysis. It is essential that measurable and realistic results are defined using performance indicators and clear baseline information. These are necessary tools to measure progress and impact and will facilitate monitoring and reporting of results using the annual Project Progress Assessment Reports (PPARs). Member States are encouraged to integrate best practice and lessons learnt from past projects using the information gathered from monitoring assessments and evaluation tools, to inform the designs of new projects.

³ See IAEA document GOV/INF/2002/8/Mod.1.

⁴ See INFCIRC/267.

12. The Regional/Cooperative Agreement Strategies and Regional Strategic Profiles (RSPs) are the foundation for the planning and formulation of regional projects. Project proposals should contain evidence that the support requested addresses common needs, is an identified regional priority, and is in line with the national development plans and priorities of the participating Member States.
13. Interregional projects can be trans-regional, set international standards, or enable the participation of candidates from developing countries in approved conferences, seminars and workshops. They can also be used for joint TC activities with an international entity. They contribute to wider knowledge and experience sharing among participating Member States and enhance technical cooperation among developing countries (TCDC), South-South and Triangular Cooperation.
14. Given the limited resources of the Technical Cooperation Fund (TCF), projects are expected to be co-supported by Member States and/or development partners/donors. The source, nature and extent of support should be clearly indicated in the project document. Extrabudgetary contributions, such as government cost-sharing, are expected in particular to support large procurement items and should be clearly indicated in the project document.
15. Member States are advised to use the TCF allocation of the previous TC cycle as an indicative planning figure when budgeting TC projects for the upcoming cycle. Additional funding sources should be clearly identified for specific activities.

D. Roles and Responsibilities

16. Member States are responsible for the selection of projects to be proposed to the Secretariat based on the CPFs and existing RSPs. Only projects where well-developed, mature nuclear and nuclear-related techniques and technology hold a comparative advantage or complement conventional approaches will be considered.
17. Member States are responsible for the selection of counterpart institutions, project counterparts, regional project coordinators, project teams and partners, based on the needs and objectives of projects. This includes ensuring that the selected institutions have the required physical and technical infrastructure, adequate human resources to implement the projects, have adequate safety infrastructure to ensure the safe use of the proposed technique under the project, and are supportive and committed to disseminating knowledge and the transferred technology at the national and regional level. Project counterparts should be knowledgeable and committed, have good managerial skills and be capable of coordinating project development and implementation. They are encouraged to successfully complete the LFA online training, preferably prior to commencing a design process. Project management tools are available on the Programme Cycle Management Framework (PCMF) Reference Desk.
18. Member States are responsible for progress monitoring and reporting, for the realization of outcomes, as well as ensuring sustainability of developed human resources and infrastructural capacity beyond the duration of the project. This includes identifying, analysing, mitigating and continuously monitoring risks that may affect project implementation and desired impact.
19. The Secretariat actively supports Member States in all aspects of project design, including ensuring technical integrity and relevance of proposed projects and that financial planning is in line with project content. The Secretariat is also responsible for appraising project designs against established quality criteria and ensuring that comprehensive and timely feedback on all submitted draft project designs is provided to Member States through the PCMF.
20. Member States are reminded that the Board of Governors requires all Member States receiving technical assistance from the IAEA to have concluded a Revised Supplementary Agreement Concerning the Provision of Technical Assistance by the IAEA (RSA). In accordance with the terms

- of the RSA, Member States are responsible for obtaining the release from customs for goods and equipment supplied under the TC programme in a timely manner. This includes the payment of taxes, where applicable; the transportation of equipment from the port of entry to the project site; any incidental handling, storage, or other related expenses; insurance; and maintenance.
21. Projects that involve the delivery of radiation sources (sealed sources, unsealed radioactive material and radiation generators) or related equipment are reviewed by the Department of Nuclear Safety and Security to ensure that the projects meet the requirements of Article III.A.6 of the Statute, which states that the IAEA is authorized to provide for the application of standards of safety for protection of health and minimization of danger to life and property (including such standards for labour conditions) to its own operation as well as to the operations making use of materials, services, equipment, facilities, and information made available by the IAEA or at its request or under its control or supervision. At the operational level, this review also ensures compliance with the recommendations of the Board of Governors ([GOV/1999/67](#) and [GOV/2001/48](#)), according to which the provision of radiation sources or any equipment involving the use of radiation sources will be supported under the relevant projects only after the recipient country has met the principal requirements of the International Basic Safety Standards on the establishment of a national radiation safety infrastructure. Noting that the radiation safety infrastructure in a Member State can change positively or negatively throughout the implementation of a project, Member States are encouraged to consult and engage with their respective regulatory bodies on all projects that will involve the use of radiation sources and related equipment to ensure that the principal requirements of the International Basic Safety Standards are met.
 22. The assessment of a Member State's radiation safety infrastructure status is based on the evaluation of information stored in the web-based Radiation Safety Information Management System ([RASIMS](#)) for all Thematic Safety Areas (TSA) except for TSA5 (Emergency Preparedness and Response), which is evaluated based on the information contained in the Emergency Preparedness and Response Information Management System ([EPRIMS](#)). For reasons of confidentiality, access to RASIMS is restricted so that Member States can only see their own national data. The information in RASIMS is sourced from both Member States and the Secretariat. It is in the interest of Member States to ensure that information in RASIMS is accurate and up to date, as it is the tool that is being used to evaluate the radiation safety infrastructure prior to a Member State receiving radiation sources or related equipment. It is also in the interest of Member States to ensure that information in EPRIMS is accurate and up to date, as it is the tool that is being used to evaluate the needs and priorities in emergency preparedness and response in the Member States.
 23. Member States, with the exception of least developed countries, must pay National Participation Costs (NPCs) equivalent to 5% of the estimated core funding of the approved new national projects. At least half of the NPCs, equivalent to 2.5% of the core funding, must be paid before project implementation begins. Early payment of NPCs is essential to ensure effective and timely project implementation. However, the project start date should take into account the expected date of NPCs payment. For more details on NPCs please refer to [GOV/2004/46](#).

E. Project Planning and Development Process

24. The planning and development process begins when the Secretariat issues a note verbale to the Member States, together with these Guidelines. Member States should then start internal discussions on topics and issues to be addressed through the TC programme during the 2024–2025 TC cycle. Member States are requested to refer to the Country Programme Framework and/or national development plans in their internal project identification process, as well as the TC Programme Quality Criteria, according to the timeframe outlined in Section G. Member States are encouraged to engage all relevant stakeholders when developing proposals for the next TC cycle. A list of proposed

projects using the Country Programme Note (CPN) should be submitted through the PCMF platform (<http://pcmf.iaea.org/>).

25. Regional and interregional project proposals can be submitted by any group of Member States within or outside an existing Regional/Cooperative Agreement bearing in mind the established TC Programme Quality Criteria, and after consultation with relevant regional/interregional stakeholders. Regional TC projects are also expected to make a clear contribution to the national development priorities of participating countries and will be appraised accordingly. The IAEA Secretariat, in close consultation with Member States, may also submit relevant regional and interregional projects based on information available to it on existing needs and trends.
26. The proposed programme for a Regional/Cooperative Agreement is submitted by the Chair of the respective Regional/Cooperative Agreement in accordance with the planning process and identified timeframe. These programmes, together with regional TC projects outside an agreement, will be consolidated by the relevant Regional Division to form the overall regional TC programmes. The Secretariat will also be responsible for consolidating the interregional TC projects into the overall interregional programme. The submission of the Country, Regional and Interregional Programme Notes (CPN, RPN, IPN) through the PCMF platform initiates the project planning process. All stages of the project design provide time for interaction between Member States and the Secretariat.
27. It is expected that National Liaison Officers (NLOs), Programme Management Officers (PMOs), Technical Officers (TOs), Project Counterparts (CPs) and potential cooperation partners will work together on the design of selected projects from the initial list of concepts in the Programme Note taking into consideration the feedback provided by the Secretariat through PCMF. Member States are encouraged to draw on the Secretariat's services described in Section F below for support in the country programme and project preparation process. Feedback on designs will be provided to Member States by the Secretariat via the PCMF platform. All project design document templates, with detailed guidance, can be found on PCMF.

F. Services to Support Project Design

28. As needed and as appropriate, the Secretariat will coordinate with Member States on the provision of support for project planning, designs and development, such as (1) assistance for development of CPFs and regional strategic profiles/frameworks, (2) pre-project assistance, (3) assistance for results-based project development, (4) upstream planning, (5) project programme review meetings, (6) training and induction courses for new CPs/NLOs/National Liaison Assistants, and (7) assistance for resource mobilization efforts. A full description of all TC programme services is available on the PCMF Reference Desk.
29. The TC programme design phase is fully supported by the PCMF platform, which facilitates a transparent planning and design process, and provides information and references on related services to support Member States in their programme design, notably on the PCMF Reference Desk. The PCMF platform also facilitates interactions among all stakeholders.

G. Timeframe for the Preparation of the 2024-2025 TC Programme

Phase	Activity/Milestone	Responsibility	Deadline
Concept/ Programme Note Phase	Issuance of Guidelines (note verbale)	Secretariat	January/February 2022
	Submission of Country Programme Note (CPN) via PCMF IT platform	Member States	30 April 2022
	Submission of Regional and Interregional Programme Note (RPN/IPN) via PCMF IT platform	Member States/ Secretariat	31 May 2022
	Feedback to Member States on CPN	Secretariat	31 May 2022
Design Phase	Engagement with Member States through TC Programme Services	Member States/ Secretariat	continuous
	Submission of draft national/regional/interregional project designs via PCMF IT platform	Member States	31 August 2022
	Project Teams enhance draft designs	Member States/ Secretariat	continuous
	Initial technical and programmatic review finalized	Secretariat	15 January 2023
	First Quality Enhancement Exercise with feedback to project teams	Secretariat	February 2023
	Project Teams integrate feedback from first quality review into project design	Member States/ Secretariat	31 March 2023
	Second Quality Enhancement Exercise with feedback to project teams	Secretariat	April 2023
	All project designs finalized and submitted via PCMF IT platform	Member States	17 May 2023
	National programme acknowledgement	Member States	12 July 2023
Approval Phase	Clearance of proposed TC programme	Secretariat	30 July 2023
	Issuance of TC programme documents to Member States	Secretariat	October 2023
	Review of TC programme by the Technical Assistance and Cooperation Committee	Member States	November 2023
	Approval of TC programme by the Board of Governors	Member States	November 2023
	Information to Member States on approved National Programme	Secretariat	December 2023